



November 2016 Preliminary FYTD Financial Statements (unaudited)
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Balance Sheet

Current Assets

Cash and Cash Equivalents – \$32.0 million

Prepaid Expense – \$262k, which includes:

- \$37k related to a lease security deposit
- \$225k related to software amortization

Total Assets – \$32.3 million

Liabilities

Accounts Payable and Accrued Liabilities – \$8.4 million, which includes:

- \$279k accounts payable to providers and vendors
- \$7.5M estimated IBNR including:
 - \$1.6M ATCIC
 - \$4.1M CUC
 - \$147k People's
 - \$136k El Buen
 - \$155k Lone Star COC
 - \$115k Front Steps
 - \$369k FY16 & FY17 Paul Bass Specialty Care
 - \$838k Other MAP Providers
- \$156k non-provider accruals; including \$66k United Way and \$50k Cope
- \$527k due to Central Health

Deferred Revenue – \$2.8 million deferred revenue related to DSRIP projects

Other Liabilities – \$253k; includes leasehold improvement allowance liability of \$243k

Payroll Liabilities – \$305k; includes PTO liability of \$250k and accrued payroll of \$47k for 3 days

Total Liabilities – \$11.8 million



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Net Assets

Emergency Reserve – \$5.0 million

Unrestricted Net Assets – \$15.5 million

Total Net Assets – \$20.5 million

Total Liabilities and Net Assets – \$32.3 million



Sources and Uses Report

November financials → two months, 16.7% of the fiscal year

Sources of Funds, Year-to-Date

Operations Contingency Carryforward – Consisting of unaudited net assets from FY2016, less \$5.0 million emergency reserve, is \$26.3 million

Other Sources – \$5k interest revenue

Uses of Funds, Year-to-Date

Operating Expenses

Healthcare Delivery				
Category	YTD Total Operating Cost	FY Budget	% of Budget	Variance
Healthcare Delivery (Providers, Personnel, Other)	9,629,807	77,816,057	12.4%	Primary Care \$6.6M; Specialty Care \$393k; Dental \$76k; Behavioral Health \$1.4M; Post-Acute Care \$106k; Urgent Care \$27k; Pharmacy \$596k; Client Referral Services \$132k; Personnel Costs \$118k; Consulting \$38k; Other Purchased Goods \$37k; TPA Expense \$117k.
HIT	360,821	4,458,147	8.1%	Personnel Costs \$217k; Other Purchased Goods \$144k.
Patient Medical Management	277,810	1,782,840	15.6%	Personnel Costs \$126k; Other Purchased Goods \$152k.
Quality, Assessment and Performance	119,481	956,974	12.5%	Personnel Costs \$117k.
Administration	196,420	1,331,983	14.7%	Personnel Costs \$153k; Legal \$6k; Other Purchased Goods \$37k.
MAP Redesign	13,254	5,354,621	0.2%	
MAP Benefits Enhancement Reserve	-	858,938	0.0%	
Service Expansion Funds	-	1,000,000	0.0%	
Operations Contingency	-	1,813,496	0.0%	
Total Healthcare Delivery	10,597,593	95,373,056	11.1%	
UT Affiliation	-	35,000,000	0.0%	



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DSRIP	200,452	23,488,234	0.9%	
Total Uses	10,798,045	153,861,290	7.0%	

Change in Net Assets – Year-to-date change in net assets is a decrease of \$10.8 million.

Community Care Collaborative

Financial Statement Presentation

FY 2017 – as of November 30, 2016





- Financial Statements
 - Balance Sheet
 - Sources and Uses Report, Budget vs. Actual
 - Detail of Healthcare Delivery Costs
- Two Months of Operations
 - October 1, 2016 – November 30, 2016

Balance Sheet

As of November 30, 2016



Assets:

Cash and Cash Equivalents (1)	\$	32,042,102
Prepaid and Other		<u>261,967</u>
Total Assets	\$	<u><u>32,304,069</u></u>

Liabilities and Net Assets:

AP and Accrued Liabilities	\$	8,419,928
Deferred Revenue		2,801,052
Other Liabilities		253,604
Accrued Payroll		<u>305,105</u>
Total Liabilities		11,779,689
Net Assets (1)		<u>20,524,380</u>
Total Liabilities and Net Assets	\$	<u><u>32,304,069</u></u>

(1) Includes \$5M Emergency Reserve Balance

Sources and Uses Report, Budget vs. Actual

Fiscal Year-to-Date through November 30, 2016



		Annual Budget	YTD Actual
Sources of Funds	DSRIP Revenue	\$ 62,432,400	\$ -
	Member Payment - Seton (1)	41,500,000	-
	Member Payment - Central Health (1)	26,245,166	-
	Operations Contingency Carryforward (2)	23,643,324	26,316,999
	Other Sources	40,400	5,426
	Total Sources of Funds	\$ 153,861,290	\$ 26,322,425
Uses - Programs	Healthcare Delivery	95,373,056	10,597,593
	UT Services Agreement	35,000,000	-
	DSRIP Project Costs	23,488,234	200,452
	Total Uses	\$ 153,861,290	\$ 10,798,045
Sources Over (Under) Uses		\$ -	\$ 15,524,380

(1) Final contributions will be subject to provisions of the MSA, which requires the parties to collaborate to adequately fund the CCC, but leaves the amount of funding up to each parties' discretion. Each member contribution could be more or less than the budget, depending upon a variety of factors.

(2) Preliminary unaudited net assets from FY2016

Healthcare Delivery Costs

Fiscal Year-to-Date through November 30, 2016



	Annual Budget	YTD Actual	% of Budget
Primary Care	\$ 48,792,582	\$ 6,612,759	14%
Specialty Care	8,526,951	393,337	5%
Dental Specialty Care	629,711	75,647	12%
Behavioral Health/Substance Abuse	8,833,856	1,379,264	16%
Post-Acute Care	1,150,000	105,600	9%
Convenient/Urgent Care	600,000	26,993	4%
Pharmacy	5,350,000	595,691	11%
Client Referral Services	856,309	131,560	15%
HCD Operating Cost	1,991,647	192,291	10%
Health Information Technology	4,458,147	360,821	8%
Patient Medical Management	1,782,840	277,810	16%
Quality, Assessment and Performance	956,974	119,481	12%
Claims Payment Services/TPA	1,085,000	116,666	11%
Administration	1,331,983	196,420	15%
MAP Redesign	5,354,621	13,254	0%
MAP Benefits Enhancement Reserve	858,938	-	0%
Service Expansion Funds	1,000,000	-	0%
Operations Contingency	1,813,496	-	0%
Total Healthcare Delivery	\$ 95,373,056	\$ 10,597,593	11%

HCD Providers Expenditures – Primary Care

Fiscal Year-to-Date through November 30, 2016



	<u>Annual Budget</u>	<u>YTD Actual</u>	<u>% of Budget</u>
Primary Care			
CommUnityCare	\$ 39,450,000	\$ 5,467,754	14%
El Buen Samaritano	2,350,000	260,983	11%
Lone Star Circle of Care	4,364,995	421,326	10%
Peoples Community Clinic	1,798,000	327,020	18%
Volunteer Clinic	100,000	17,648	18%
City of Austin EMS	696,822	116,000	17%
	<u>\$ 48,792,582</u>	<u>\$ 6,612,759</u>	<u>14%</u>

HCD Providers Expenditures – Specialty Care

Fiscal Year-to-Date through November 30, 2016



	Annual Budget	YTD Actual	% of Budget
Specialty Care			
Paul Bass Clinic - Specialty	\$ 500,000	\$ 87,797	18%
Austin Cancer Centers	359,000	65,196	18%
Project Access	330,000	55,000	17%
Ophthalmology	550,951	56,270	10%
Orthotics and Prosthetics	100,000	33,039	33%
Urology	450,000	30,000	7%
Neurology	150,000	-	0%
Orthopedics	2,000,000	9,233	0%
Gastroenterology	650,000	-	0%
Endocrinology	100,000	-	0%
Cardiology	100,000	2,000	2%
Ophthalmology - Surgical	400,000	53,853	13%
Dermatology	100,000	-	0%
Telemedicine	200,000	-	0%
Gynecology Integrated Practice Unit	500,000	-	0%
Ear, Nose and Throat	400,000	-	0%
Audiology	50,000	-	0%
Allergy	50,000	-	0%
Specialty Referral Process Planning	25,000	-	0%
CommUnity Care Specialty Transition	1,500,000	-	0%
	\$ 8,526,951	\$ 393,337	5%

HCD Providers Expenditures - Other

Fiscal Year-to-Date through November 30, 2016



	Annual Budget	YTD Actual	% of Budget
Dental Specialty Care			
Dental Devices	200,000	26,674	13%
Oral Surgery	429,711	48,973	11%
	\$ 629,711	\$ 75,647	12%
Behavioral Health/Substance Abuse			
ATCIC	\$ 8,000,000	\$ 1,333,334	17%
SIMS Foundation	383,856	45,930	12%
Medication Assisted Therapy Pilot	450,000	-	0%
	\$ 8,833,856	\$ 1,379,264	16%
Post-Acute Care			
Front Steps/Recuperative Care Beds	\$ 600,000	\$ 105,600	18%
Skilled Nursing Facilities	550,000	-	0%
	\$ 1,150,000	\$ 105,600	9%
Convenient/Urgent Care	\$ 600,000	\$ 26,993	4%
Pharmacy	\$ 5,350,000	\$ 595,691	11%

Questions? Comments?

